BOC Broker CI Form – Individual Customer Identification (CI) Form -- For Individual --

Section A – Collection of Individual's Identification Information					
The Individual is (please $Tick $ where appropriate)	□ the Borrower □ the mortgagor				
	\Box the Attorney appointed by the Mortgagor to execute the mortgage				
Full Name	: (Given Name, Middle Name, Surname)				
Nationality	:	Date of Birth (DD/MM/YY)	:		
Residential Address (PO Box is NOT acceptable)	: Street				
	: Suburb	burb State Postcode			
	:Country				
Correspondence Address	: Street				
	: Suburb	State Pe	ostcode		
	:Country				
Occupation or Nature of business	:	Name of Employer (if any)	:		
	Indicate the source of in	Indicate the source of income($Tick$ where appropriate)			
	□ Salaries/Wages □ Investment Income				
Source of Income	□ Superannuation payments □ Inheritance				
	□ Business Activity Income □ Support by family				
	□ Others, specify:				
A certified copy of the registered power of attorney (POA) has been obtained from the Attorney. Yes No N/A					
Section B – Verificatio	n of Individual's	Identification In	formation		
 Identification Documentations (2) to verify the individual's 		U	ons (i.e. Group 1 or Group		
□Group 1	\Box A Valid Passport + 1 \times (Type 1 or Type 2) ID				
□Group 2	\Box 1 × (Type 1) ID + 2 × (Type 2) ID				
 ID Requirements: Australian passport that has expi Type 1 IDs: must be current unlessignature Type 2 IDs: must contain the cus ID that is written in a language of an accredited translator^b A certified copy^c of the selected in a language of the selected in the spelling of the selected is a 'Profess Translators and Interpreters Ltd (NA correctified conv) means a document 	ss specified otherwise, and tomer's name ^{a.} and be cur ther than English, it must be ID must be provided, othe ID is not the full name, the Surname (full spelling) sional Translator' awarded ATI)	d contain the customer's ph rent unless specified otherv be accompanied by an Eng rwise the loan application v e name must at least contai d by the National Accredita	vise lish translation prepared by vill not be processed n: First Name (full tion Authority for		
c. <u>Certified copy:</u> means a document that has been certified as a true copy of an original document by an acceptable certified (please refer to Appendix A " Acceptable Certifiers" for a list of acceptable certifiers)					

BOC Broker CI Form - Individual

Section B – Verification of Individual's Identification Information						
Primary ID	Passport					
Type 1 IDs Photographic ID (verify full name and date of birth or residential address of the individual)	Licence of Permit – Australian (Driver's, Boat, Taxi Licence)					
	□ RTA Photo Card – Australian (the Proof of age card has been replaced since 13 Dec 2008)					
Type 2 IDs Non-photographic ID (verify name and/or date of birth or residential address of the individual)	Citizenship Certificate - Australian					
	Utility Bill – issued by Australian government or utilities provider with the last 3 months which contains customer's name and residential address					
	□ ATO Notice – issued within the last 12 months which contains customer's name and residential address					
	☐ Financial Benefits Notice – issued by a Commonwealth, State or Territory government issued within the last 12 months which contains customer's name and residential address (e.g. Centrelink notice)					
	Bank statement issued by other Australian Bank in the last 6 months(online statements are not accepted)					
	Medicare Card					
	Debit Card – issued by an Australian Financial Institution					
	Credit Card – issued by a Financial Institution					
	Shooter's/Firearms Licence - Australian					
Section C – Checklist The Mortgagor is different from the		•				
☐ Yes, please complete a separate CI						
The Mortgagor has appointed an Att						
☐ Yes, please complete a separate CI	Form for t					
Please confirm the following before submitting the application to BOC		To be completed by Broker	To be reviewed by de	be reviewed by designated BOC Officers		
1. The above Sections have been completed as required.		□ Yes / □ No	□ Yes / □ No	□ Yes/□ No		
2. Name and address of the Attorney has been completed from the POA.		□ Yes / □ No / □ N/A	□ Yes / □ No / □ N/A	□ Yes / □ No /□ N/A		
3. The Borrower, Mortgagor (if different from Borrower), or Attorney (if any) 's information has been collected and verified as required.		□ Yes / □ No	🗆 Yes / 🗆 No	🗆 Yes / 🗆 No		
 A CERTIFIED copy of the POA (if any) and each ID selected in the above Section B has been attached to this form. 		□ Yes / □ No	□ Yes/□ No	□ Yes / □ No		
 IDs that were collected from the individual have satisfied the requirement set out in "ID requirement" in the above Section B 		□ Yes / □ No	□ Yes/□ No	□ Yes / □ No		
6. The Individual's full name and date of birth and/or residential address have been verified through the IDs selected in the above Section B.		□ Yes / □ No	□ Yes/□ No	🗆 Yes / 🗆 No		
Comments(if any)		Print Name:	□L1 / □L2 / □L3 Staff ID: Initial:	□L1 / □L2 / □L3 Staff ID: Initial:		
		Signature:				
		Date:	Date:	Date:		
Please note that this application will NOT be further processed if the required information is not provided or cannot be verified.						

Categories of Acceptable Certifiers

- 1. A Judge of a court
- 2. A magistrate
- 3. A person enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described)
- 4. A chief executive officer of a Commonwealth court
- 5. A registrar or deputy registrar of a court
- 6. An Australian police officer
- 7. Justice of the Peace
- 8. A notary public (for the purposes of the Statutory Declaration Regulations 1993)
- 9. A finance company officer with 2 or more continuous years of service with one or more finance companies (for the purposes of the Statutory Declaration Regulations 1993) 10. An officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more continuous years of service with one or more licensees
- 11. A permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public
- 12. An agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public

- An officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993)
- An Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955)
- 15. A member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership
- 16. An officer with, or a credit representative of, a holder of an Australian credit licence, having 2 or more years of continuous service with one or more licensees.
- 17. A Bank of China Group employee who is authorised by the bank to carry out customer identification certification
- 18. A person that is appointed by the Bank to carry out customer identification certification

Note to the Certifier:

The wording of the certification should be as follows:

• Certifying a single page of document:

"I certify that this is a true copy of the original document shown to me" Signed..... Full Name.....

Capacity (e.g. JP)
Dated

• Certifying a document with more than one page:

"I certify that this and the following [insert number of pages] pages (each of which I have signed/initialled) to be a true copy of the document shown to me as the original" Signed...... Full Name..... Capacity (e.g. JP)..... Dated.....